



**CISPA**

HELMHOLTZ CENTER FOR  
INFORMATION SECURITY

# Do You Even Lift? Strengthening Compiler Security Guarantees Against Spectre Attacks

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# Spectre

Spectre attacks exploit speculative execution to leak confidential information

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2.   y = A[idx]  
3.   z = B[y]
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← branch misprediction

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Spectre attacks exploit **speculative execution** to leak confidential information










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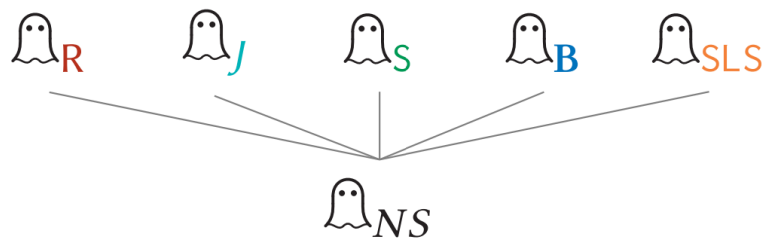
← branch misprediction

- conditional branch prediction
- indirect jump predictions
- return prediction
- store prediction
- branch type prediction

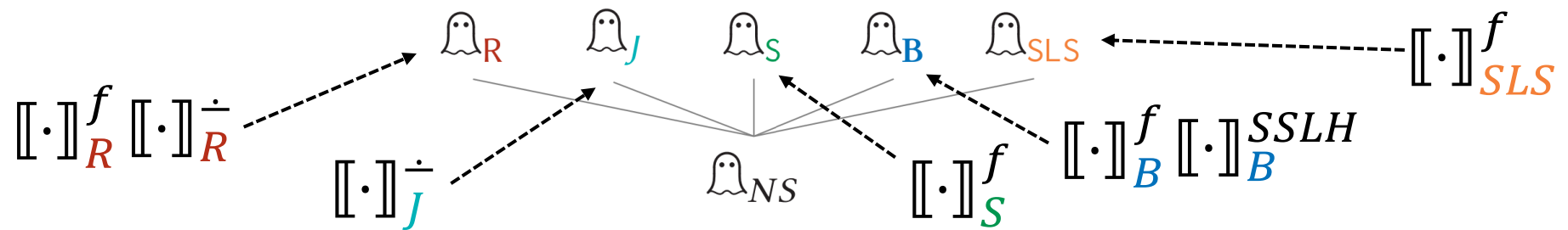


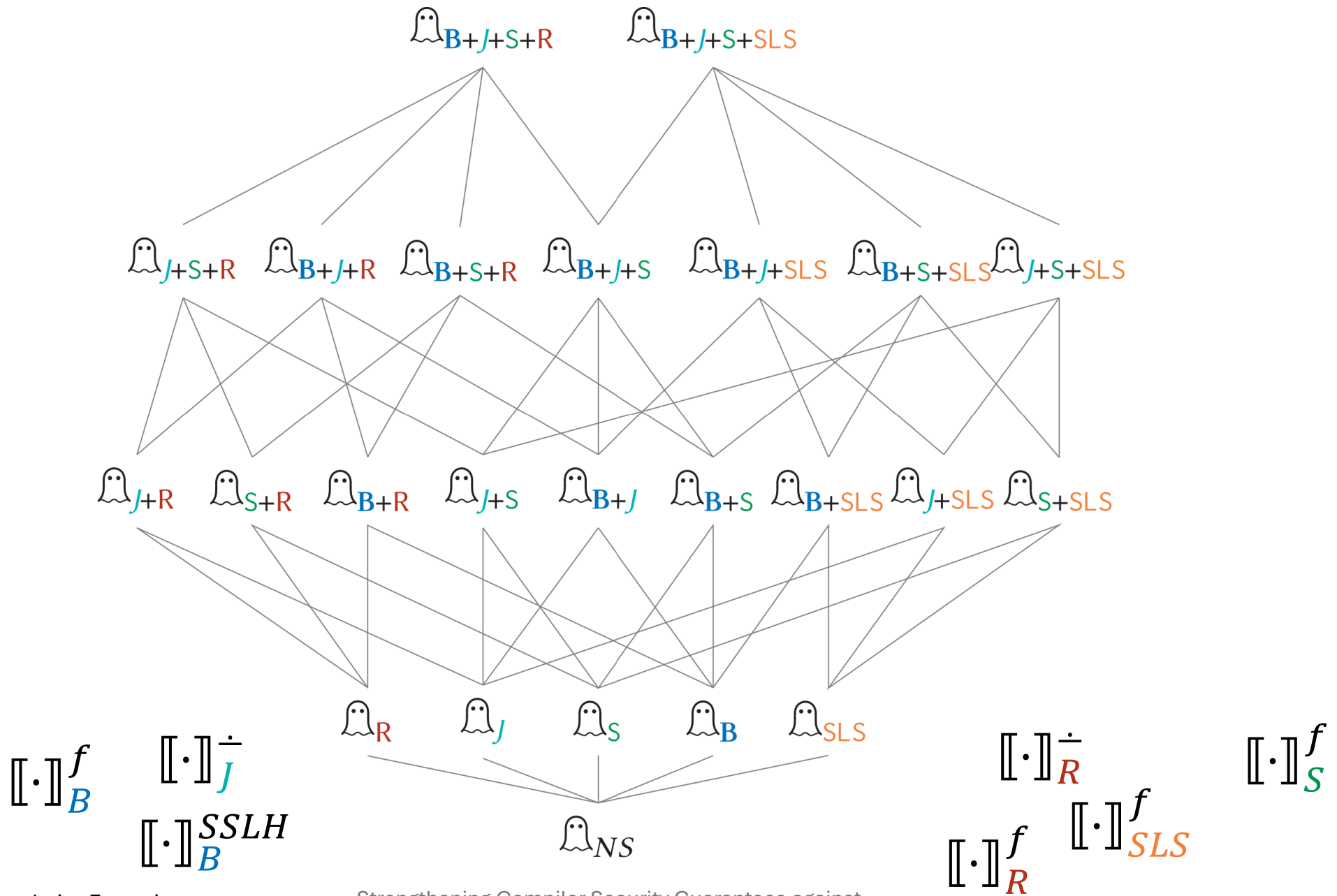
# Spectre Countermeasures

Name	Symbol	Base Semantics	Source
Fences for Returns Straight-Line	$[[\cdot]]_{SLS}^f$	 $SLS$	GCC/CLANG
Retpoline for Jumps	$[[\cdot]]_J^{rpl}$	 $J$	GCC/CLANG/[36]
Retpoline with fence for Jumps	$[[\cdot]]_J^{rplf}$	 $J$	Gcc/[36]
Retpoline for Returns	$[[\cdot]]_R^{rpl}$	 $R$	Gcc/[43]
Fences for Returns	$[[\cdot]]_R^f$	 $R$	[43]
Fences for Stores	$[[\cdot]]_S^f$	 $S$	[37]
Ultimate SLH for Branches	$[[\cdot]]_B^{USLH}$	 $B$	[59] (extends CLANG's SLH)
Strong SLH for Branches	$[[\cdot]]_B^{SSLH}$	 $B$	[49] (extends CLANG's SLH)
Fences for Branches	$[[\cdot]]_B^f$	 $B$	ICC/CLANG

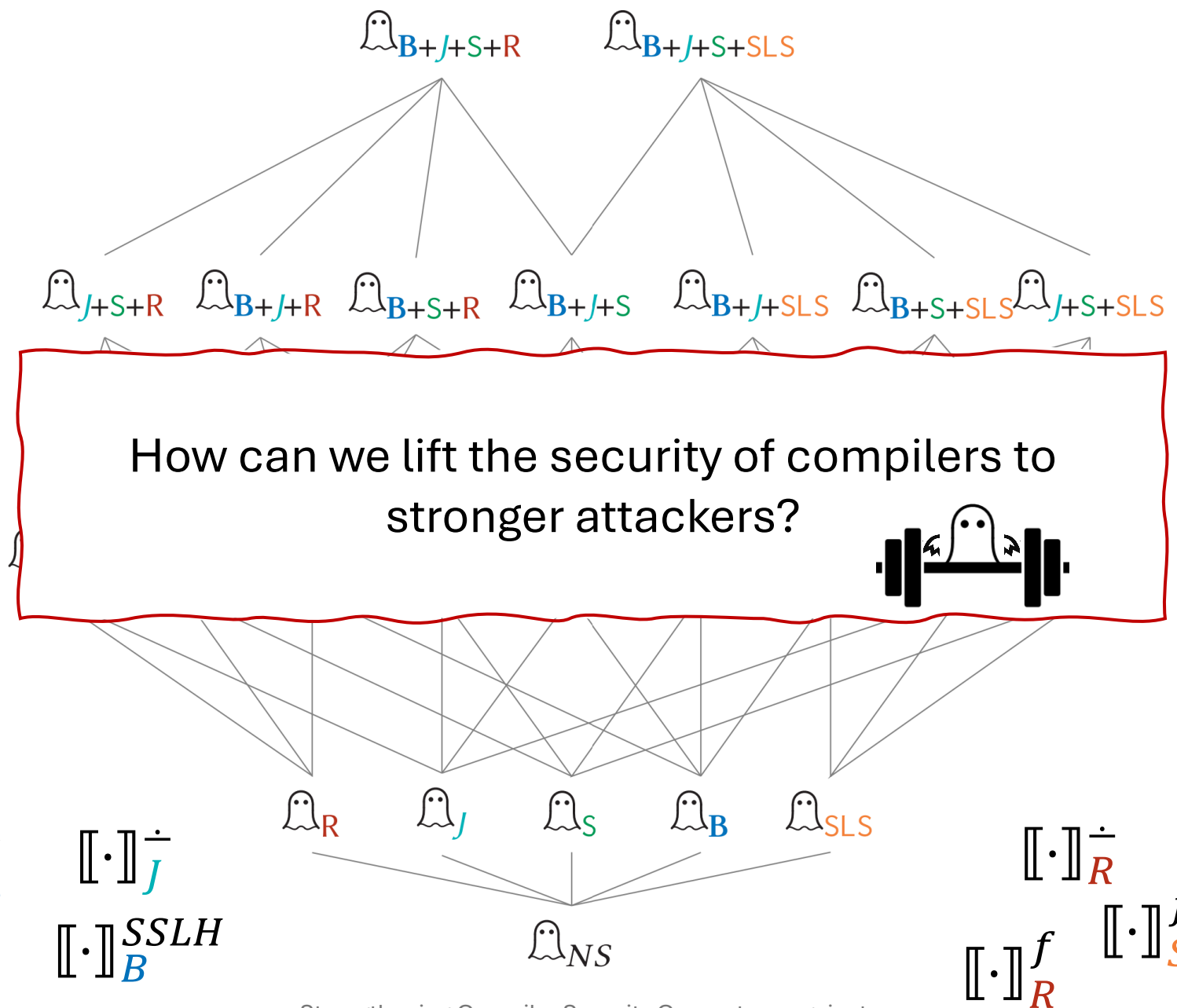


Strengthening Compiler Security Guarantees against Spectre Attacks - Xaver Fabian











# Interaction of Semantics: Trace Shapes

Shape of Traces for  
program  $p$  under   $x + y$


$$start_x \cdot \bar{\tau} \cdot$$

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# Interaction of Semantics: Trace Shapes

Shape of Traces for  
program  $p$  under  $\text{ghost}(x + y)$

Challenge 1

$start_x \cdot \bar{\tau} \cdot start_y \cdot \bar{\tau}' \cdot rlb_y \cdot \bar{\tau}'' \cdot rlb_x$

Or

Challenge 3

$start_y \cdot \bar{\tau} \cdot start_x \cdot \bar{\tau}' \cdot rlb_x \cdot \bar{\tau}'' \cdot rlb_y$

Challenge 2

# Security Definition

$\text{ghost}_x \vdash p : \textit{Secure}$

Does not speculative leak more than non-speculative execution

$\text{ghost}_x \vdash \llbracket \cdot \rrbracket : \textit{SecP}^{[1]}$

Preservation of *Secure*

$\text{ghost}_{NS} \vdash p : \textit{Secure}$  **then**  $\text{ghost}_x \vdash \llbracket p \rrbracket : \textit{Secure}$

# Independence in Extension

Compiler for  $x$  independent for **version  $y$**

$\text{ghost}_y \vdash \llbracket \cdot \rrbracket : I \text{ := } \text{ghost}_y \vdash p : \textit{Secure}$    **then**    $\text{ghost}_y \vdash \llbracket p \rrbracket : \textit{Secure}$



# Independence in Extension

Compiler for  $x$  independent for **version  $y$**

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Compiler does not introduce new leaks  
under the extension semantics

# Safe Nesting

$$start_x \cdot \bar{\tau} \cdot start_y \cdot \bar{\tau}' \cdot rlb_y \cdot \bar{\tau}'' \cdot rlb_x$$

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# Compiler Criterion?

$\text{ghost}_x \vdash \llbracket \cdot \rrbracket : \text{SecP} := \text{ghost}_{NS} \vdash p : \text{Secure}$  **then**  $\text{ghost}_x \vdash \llbracket p \rrbracket : \text{Secure}$

# New Compiler Criterion: Conditional **SecP**

$\text{ghost}_x, \text{ghost}_y \vdash \llbracket \cdot \rrbracket : \mathit{CSecP} := \text{ghost}_{NS} \vdash p : \mathit{Secure} \quad \text{ghost}_y \vdash p : \mathit{Secure}$

**then**  $\text{ghost}_x \vdash_y \llbracket p \rrbracket : \mathit{Secure}$

# Lifting Theorem

$start_x \cdot \bar{\tau} \cdot start_y \cdot \bar{\tau}' \cdot rlb_y \cdot \bar{\tau}'' \cdot rlb_x$

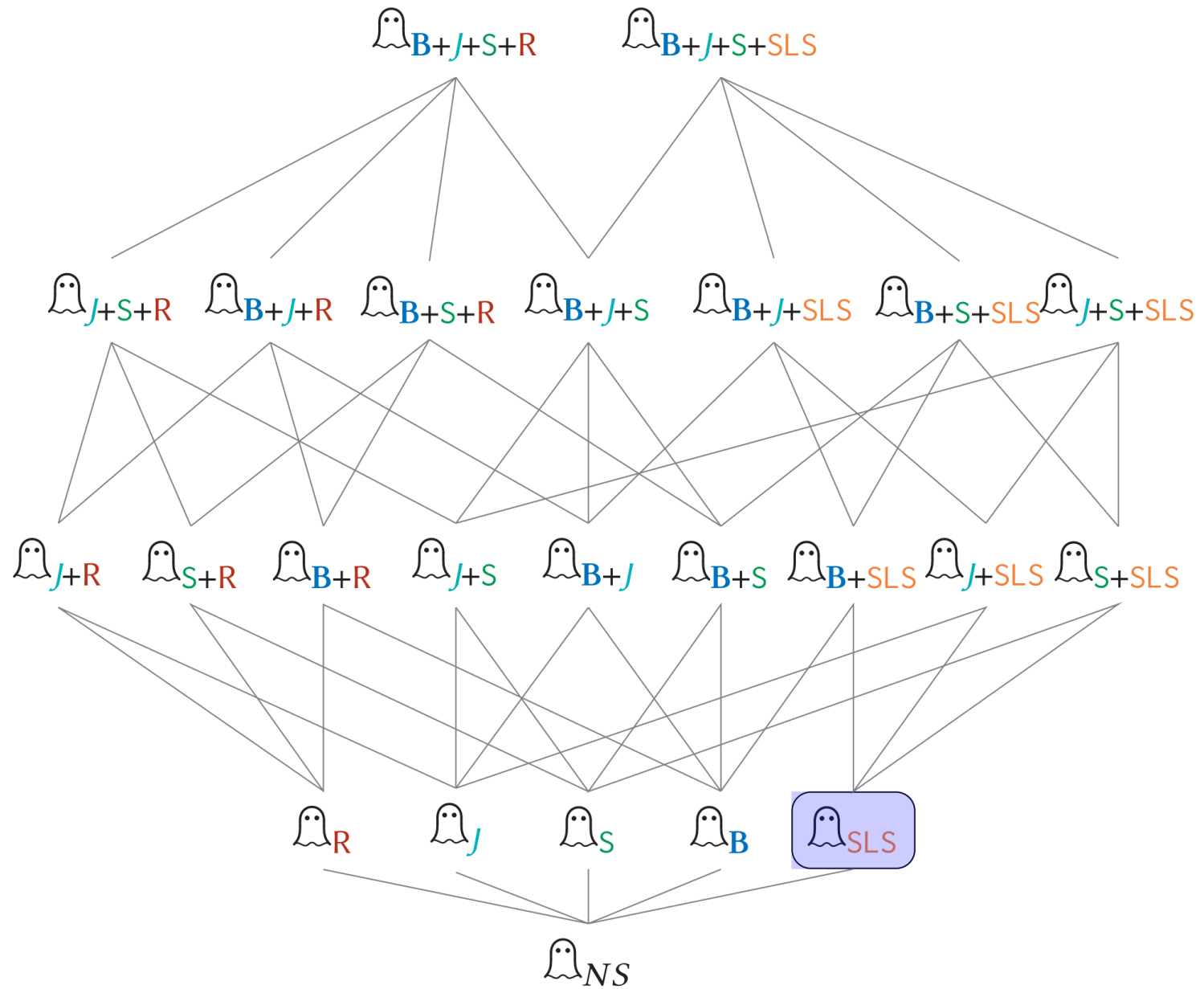
$start_y \cdot \bar{\tau} \cdot start_x \cdot \bar{\tau}' \cdot rlb_x \cdot \bar{\tau}'' \cdot rlb_y$



then  $\text{ghost}_x, \text{ghost}_y \vdash \llbracket \cdot \rrbracket : CSecP$

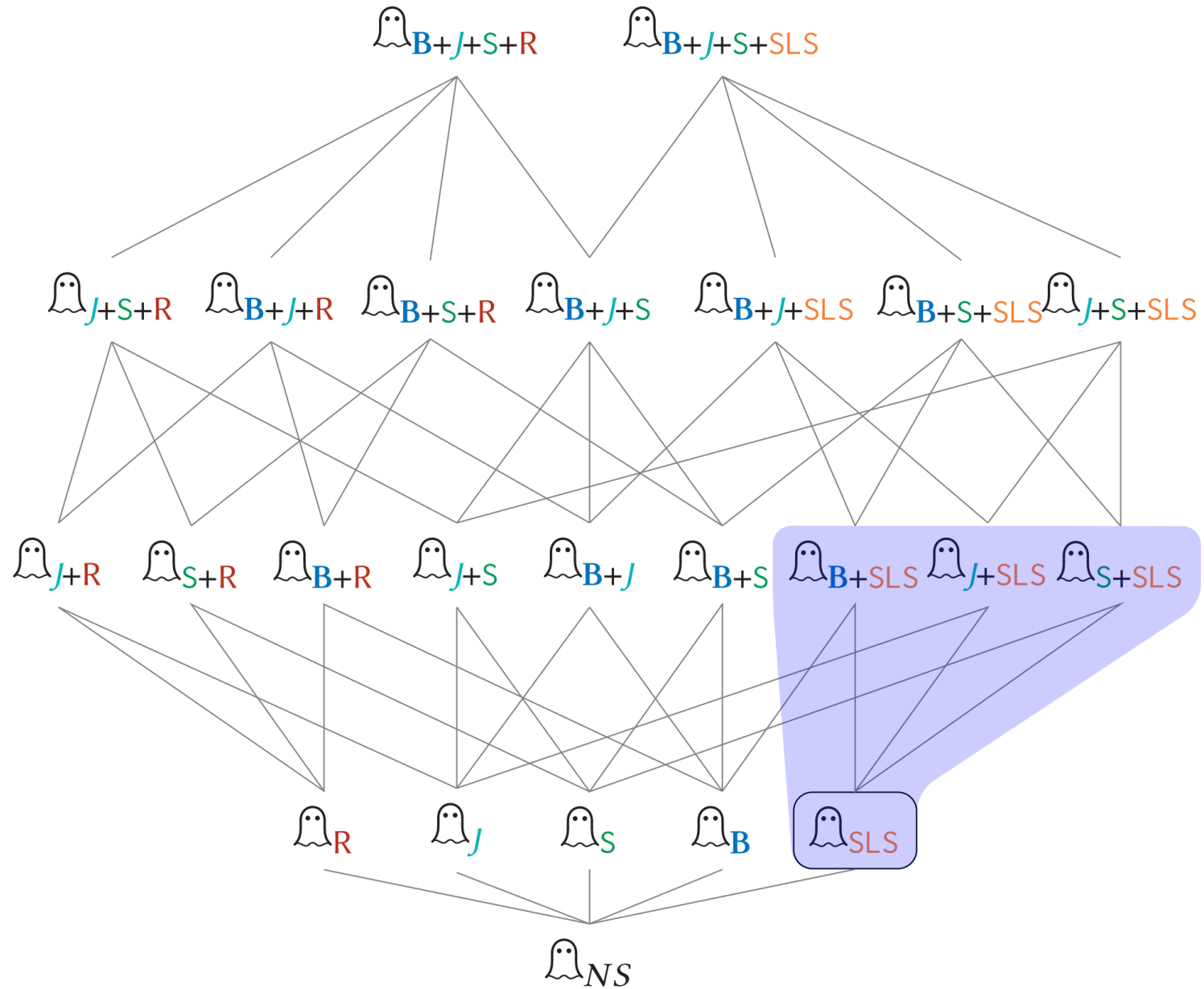
# Compilers

$\llbracket \cdot \rrbracket_{SLS}^f$



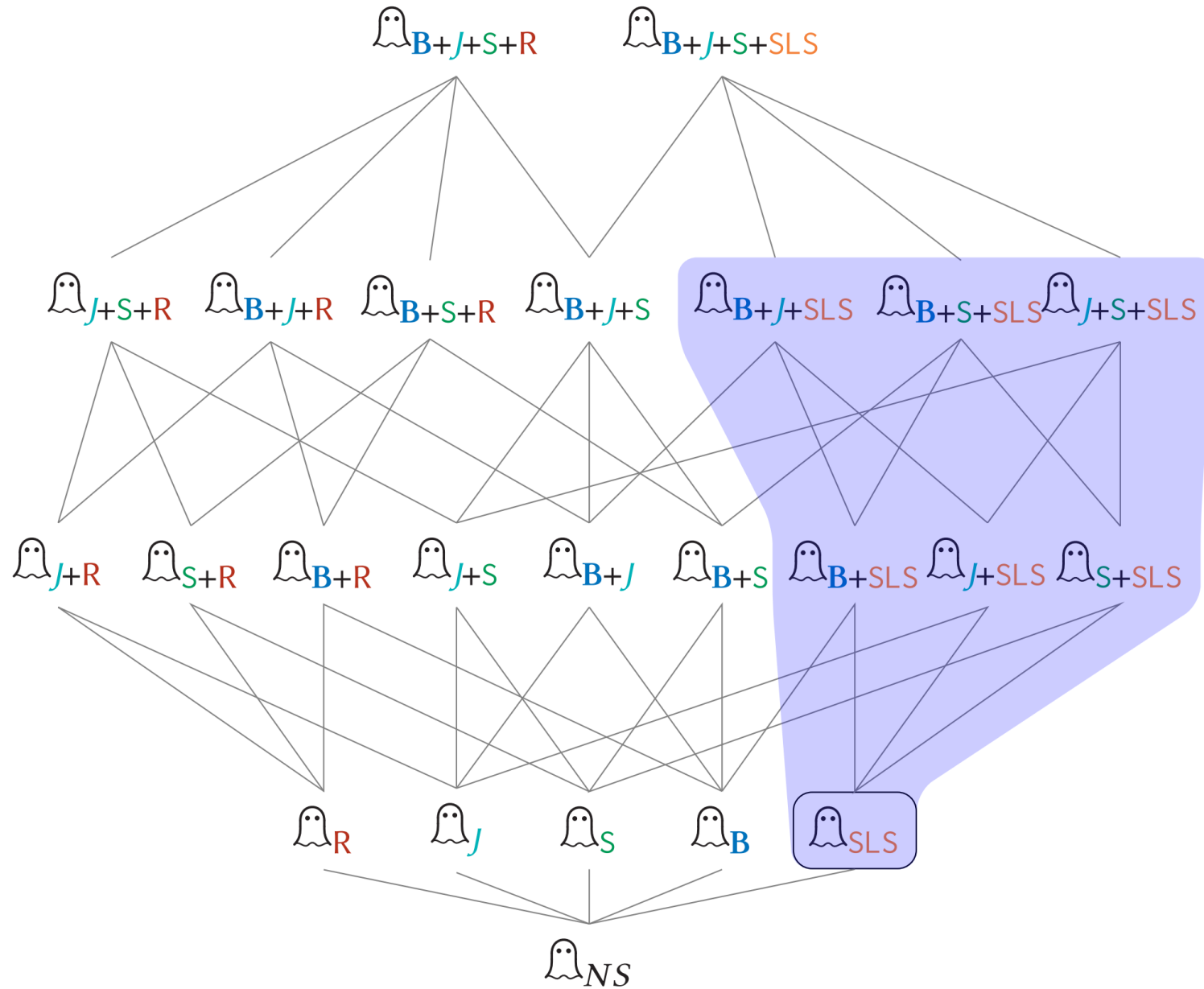
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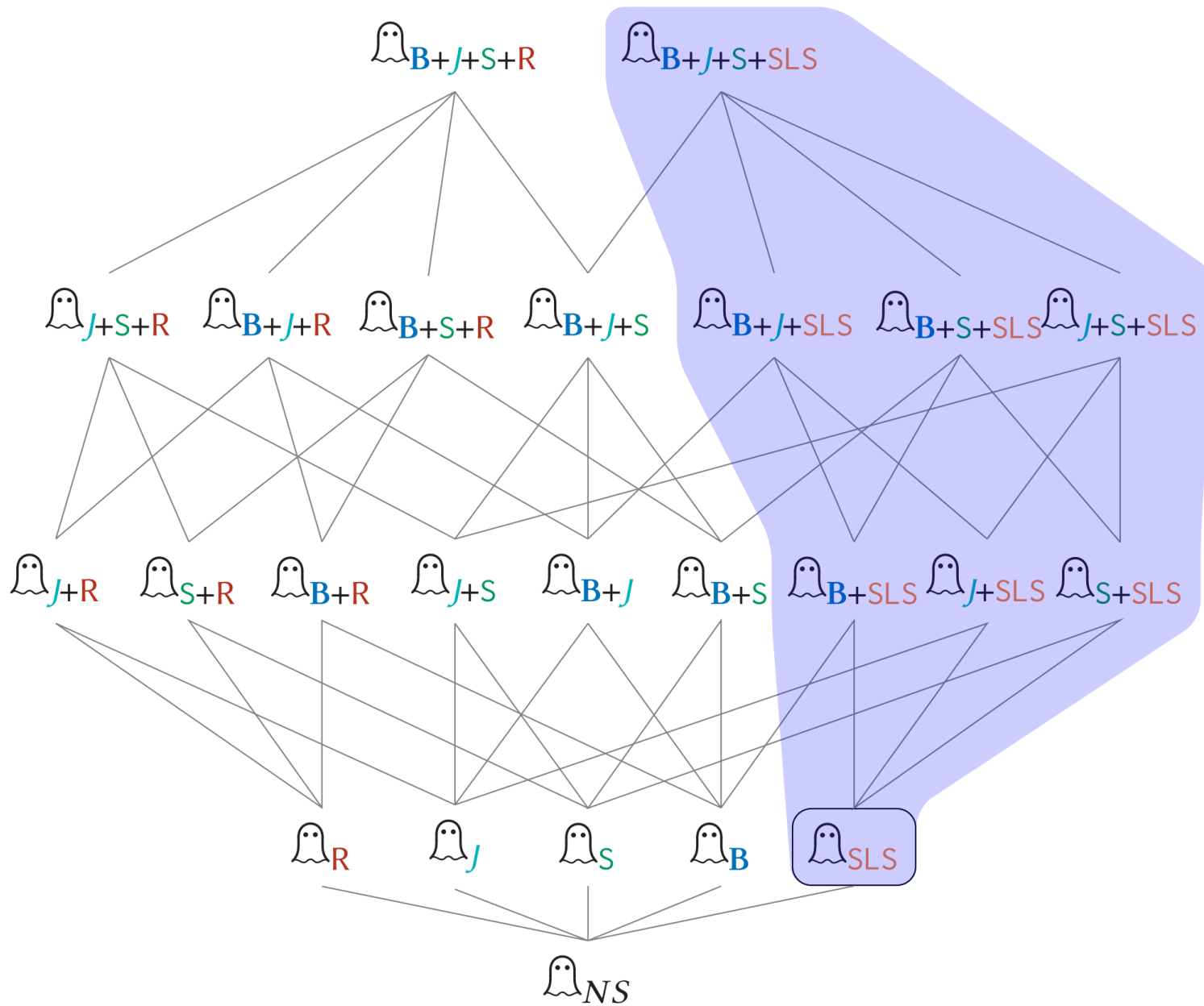
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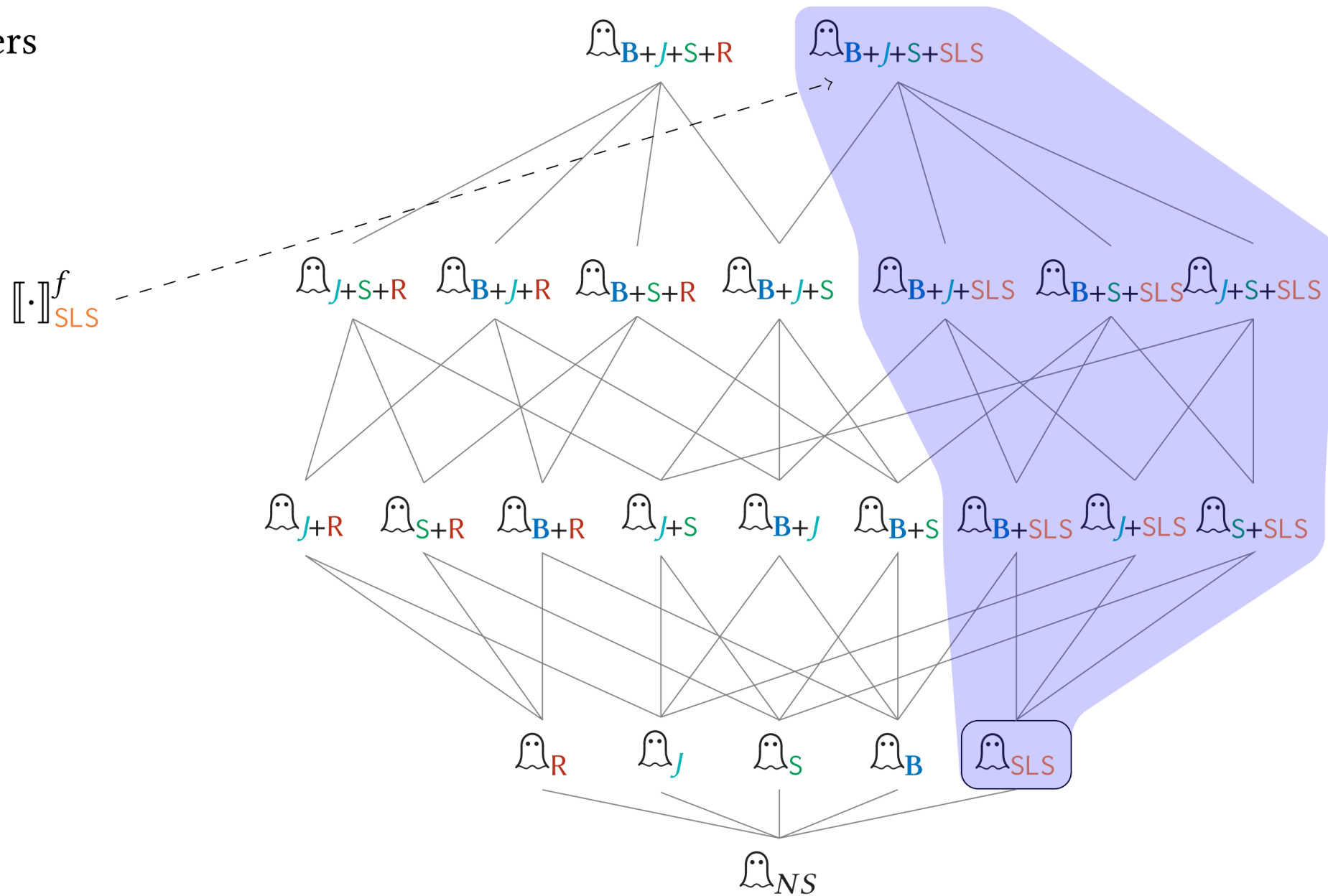


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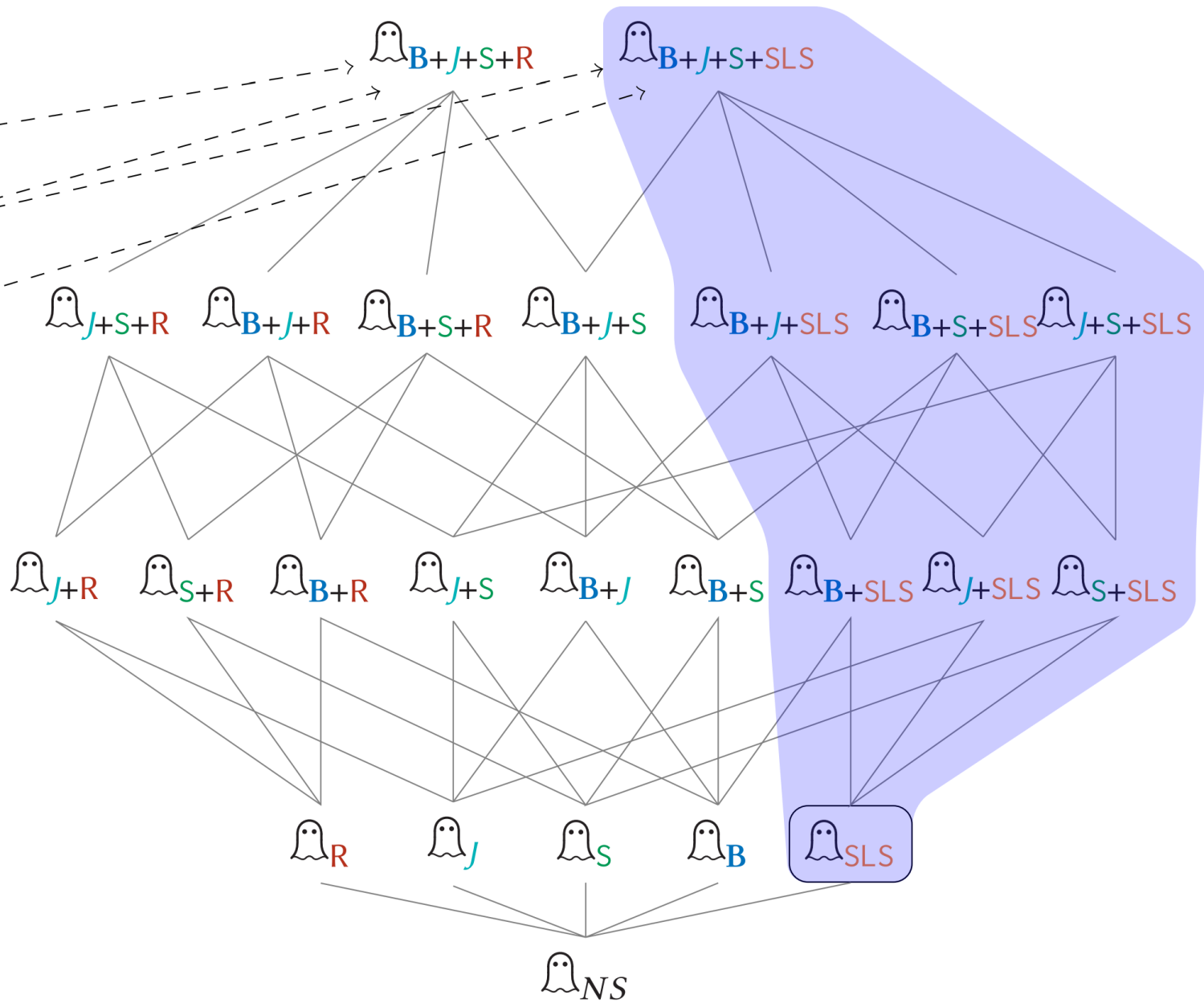


# Compilers

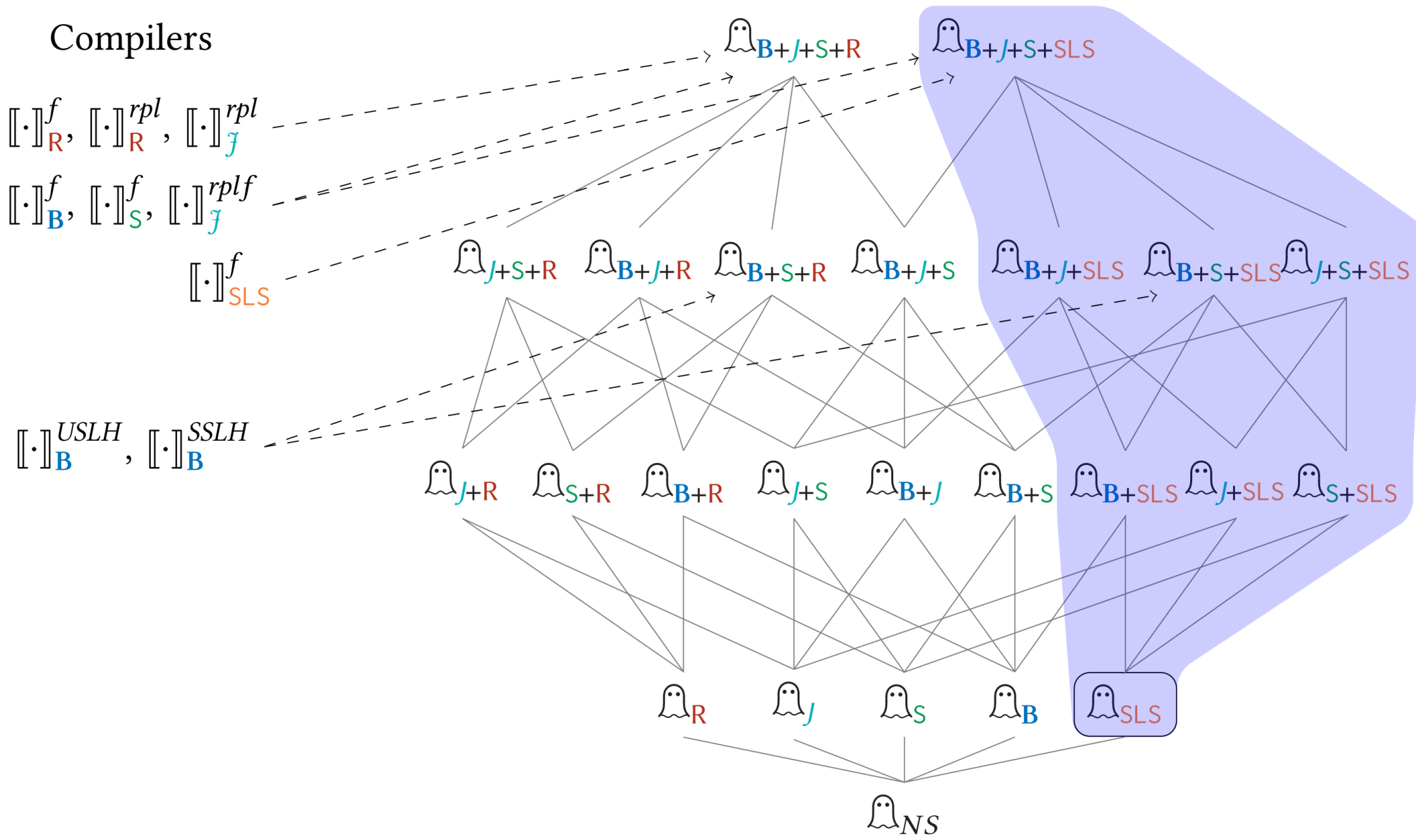


# Compilers

$[\cdot]_R^f$ ,  $[\cdot]_R^{rpl}$ ,  $[\cdot]_J^{rpl}$   
 $[\cdot]_B^f$ ,  $[\cdot]_S^f$ ,  $[\cdot]_J^{rplf}$   
 $[\cdot]_{SLS}^f$



# Compilers



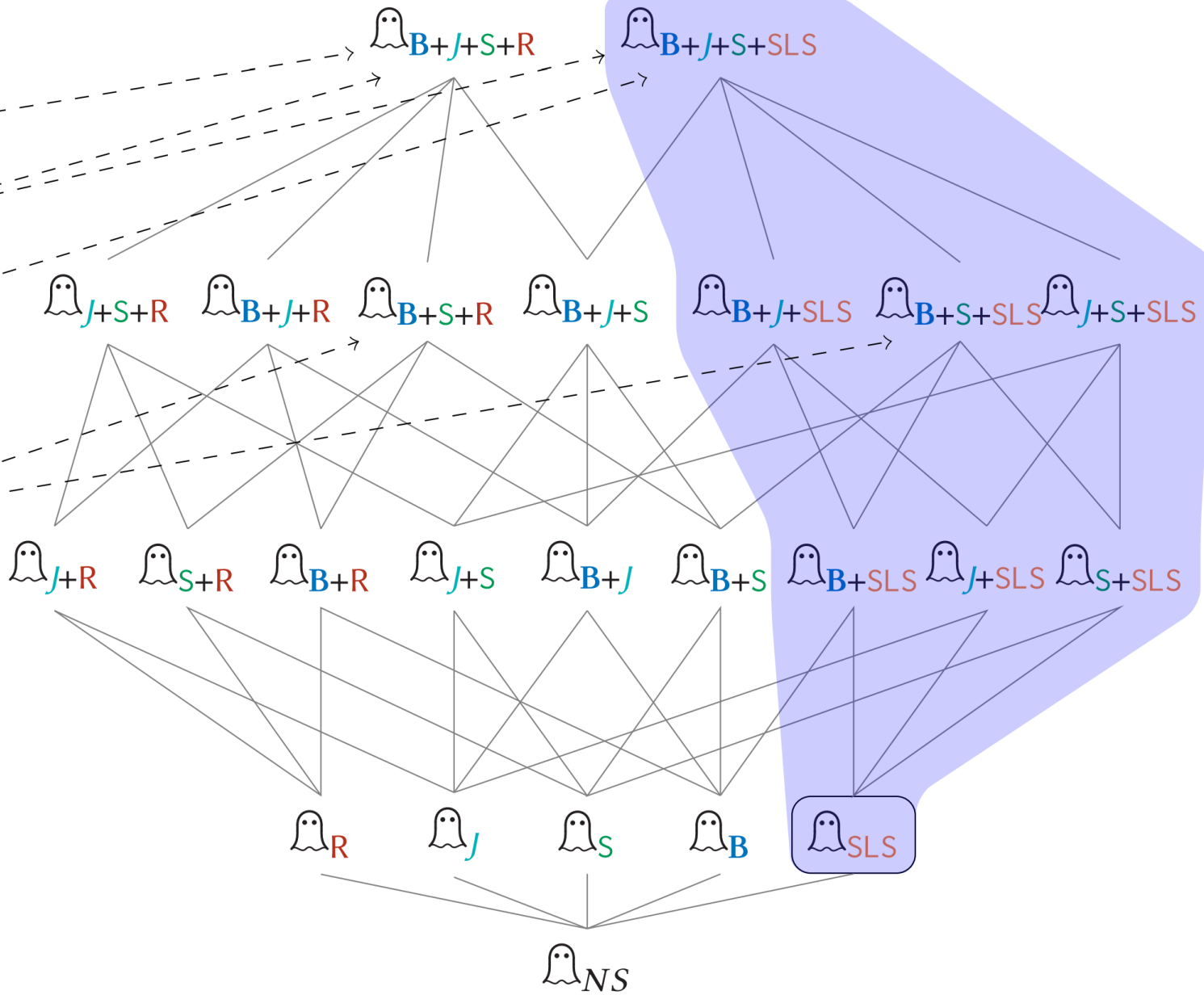
# Compilers

$[\cdot]_R^f$ ,  $[\cdot]_R^{rpl}$ ,  $[\cdot]_J^{rpl}$

$[\cdot]_B^f$ ,  $[\cdot]_S^f$ ,  $[\cdot]_J^{rplf}$

$[\cdot]_{SLS}^f$

$[\cdot]_B^{USLH}$ ,  $[\cdot]_B^{SSLH}$



# Reducing the Proof Burden

Independence

Safe Nesting

# Reducing the Proof Burden

Syntactic Independence

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# Reducing the Proof Burden

Syntactic Independence

Trapped Speculation



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Safe Nesting



# Reducing the Proof Burden

Syntactic Independence



Independence

Trapped Speculation



Safe Nesting



- $\llbracket \cdot \rrbracket_f^{SLS}$
- $\llbracket \cdot \rrbracket_f^B$
- $\llbracket \cdot \rrbracket_f^S$
- $\llbracket \cdot \rrbracket_{\dot{R}}$
- $\llbracket \cdot \rrbracket_f^R$
- $\llbracket \cdot \rrbracket_{\dot{J}}$

# Thank you!



**Paper**

# Backup Slides

# Compiler Composition ?!

# Applicability to other Areas



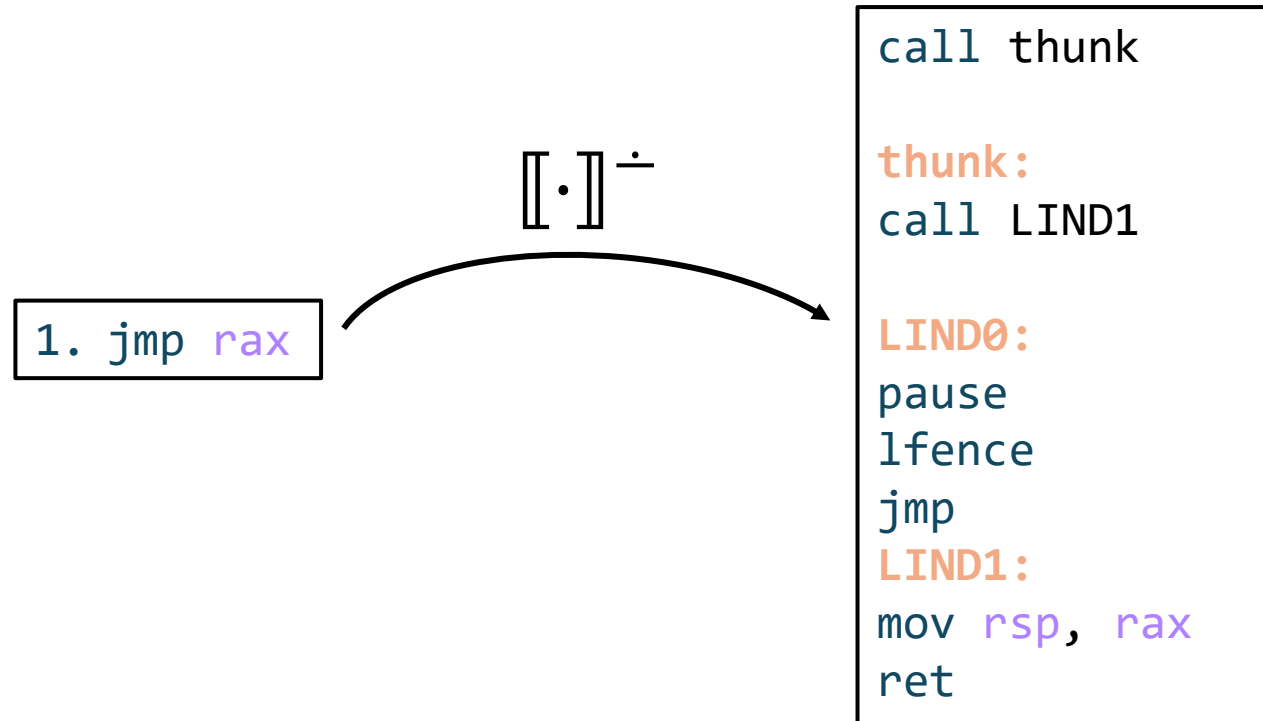
# Question: How to fulfil the side condition in CRSSP?

**THEOREM 4 (LIFTED COMPILER PRESERVATION, 🐓).** *If  $\mathcal{G}_x \vdash \llbracket \cdot \rrbracket : \text{RSSP}$  and  $\mathcal{G}_y \vdash \llbracket \cdot \rrbracket : I$  and  $\mathcal{G}_{x+y} \vdash \llbracket \cdot \rrbracket : \text{safeN}$  and  $\vdash \mathcal{G}_{x+y} : \text{WFC}$ , then  $\mathcal{G}_x, \mathcal{G}_y \vdash \llbracket \cdot \rrbracket : \text{CRSSP}$ .*

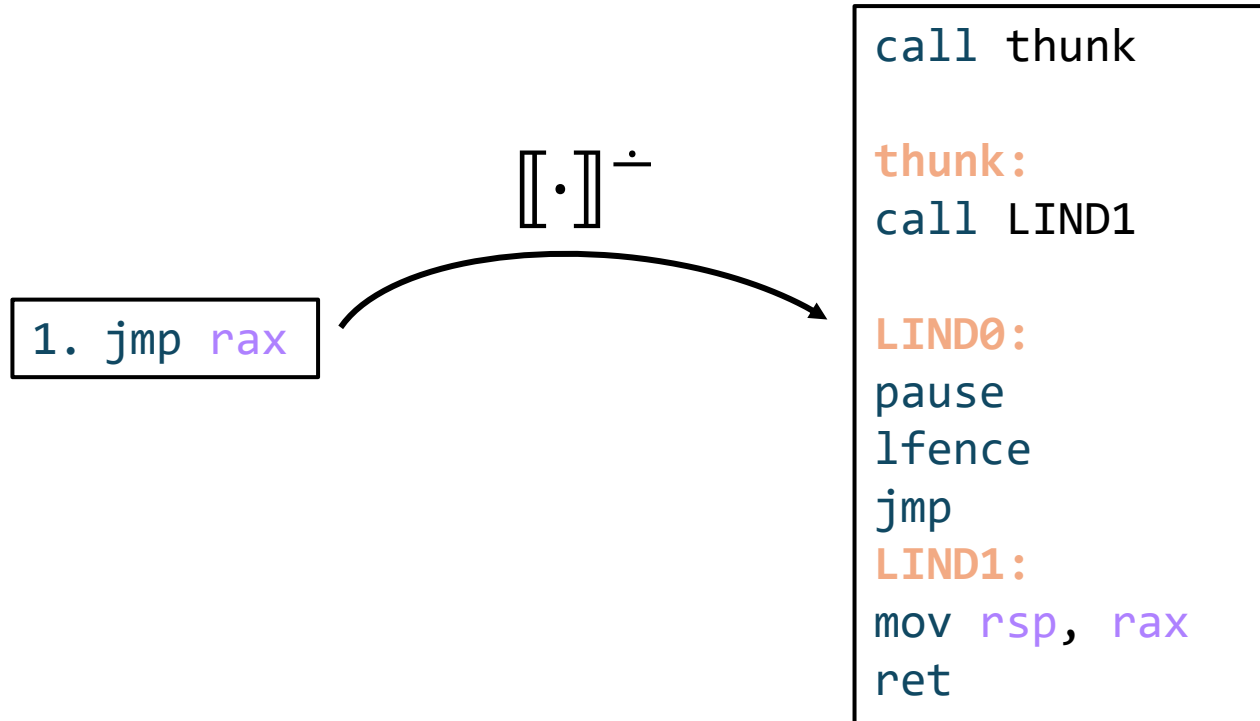
**Definition 9** (Conditional Robust Speculative Safety Preservation (CRSSP)).

$\mathcal{G}_x, \mathcal{G}_y \vdash \llbracket \cdot \rrbracket : \text{CRSSP} \stackrel{\text{def}}{=} \forall P \in L. \text{ if } \mathcal{G}_{NS} \vdash P : \text{RSS} \text{ and } \mathcal{G}_y \vdash P : \text{RSS}, \text{ then } \mathcal{G}_{x+y} \vdash \llbracket P \rrbracket : \text{RSS}.$

# Independence Example: Retpoline

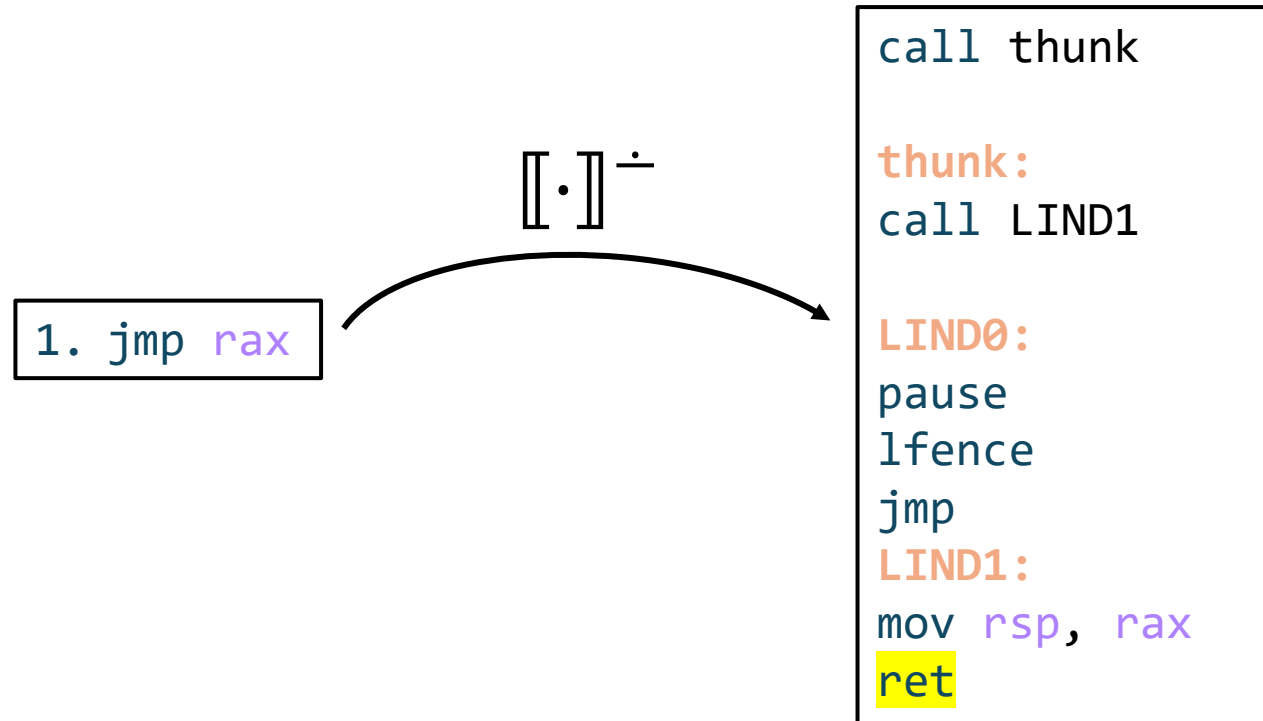


# Independence Example: Retpoline



$$\mathcal{C}_J \vdash \llbracket \cdot \rrbracket^{\dot{-}} : RSNIP$$

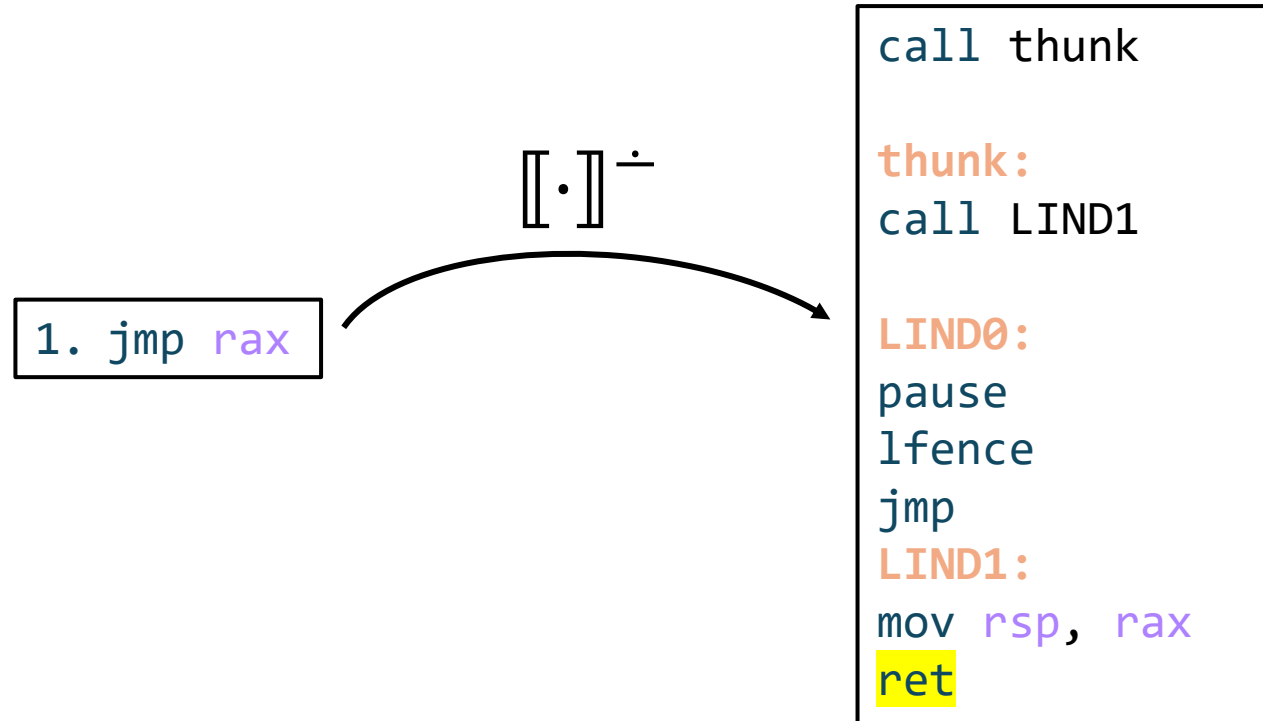
# Independence Example: Retpoline



$\mathcal{C}_J \vdash [[\cdot]]^{\bullet}$ : Secure

$\mathcal{C}_R$  ?

# Independence Example: Retpoline



$\mathcal{C}_J \vdash \llbracket \cdot \rrbracket^{\dot{-}} : \textit{Secure}$

$\mathcal{C}_R ?$

# Syntactic Independence

Instructions  
related to  
speculation

Instructions  
inserted by  
the compiler

$\mathcal{C}_J$

$\{jmp\}$

$\cap$


$\{lfence\}$

$\llbracket \cdot \rrbracket^f$

$\{\}$

$\mathcal{C}_B \vdash \llbracket \cdot \rrbracket^f : SI_{\mathcal{C}_J}$   
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Safe Nesting

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Challenge 2



